JC04 Rec'd PCT/PTO 20 JUL 2005 ORM PTO-1390 (Modified) REV. 12-2004) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 92478-4900 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) 10/542830 CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/JP2003/016428 22 December 2003 27 January 2003 TITLE OF INVENTION MULTICHIP LED LIGHTING DEVICE APPLICANT(S) FOR DO/EO/US Tatsumi Setomoto, Nobuyuki Matsui, Tetsushi Tamura, Noriyasu Tanimoto and Masanori Shimizu Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2 This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), 3. (9) and (24) indicated below. The US has been elected (Article 31). 4. 5.  $\boxtimes$ A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. 🗆 is attached hereto (required only if not communicated by the International Bureau). b. 🛛 has been communicated by the International Bureau. c. 🗆 is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. 🗆 is attached hereto. b. 🗆 has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) 7 a. 🗆 are attached hereto (required only if not communicated by the International Bureau). b. 🗆 have been communicated by the International Bureau. c. 🗆 have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 9. 10 An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. A copy of the International Preliminary Examination Report (PCT/IPEA/409).  $\boxtimes$ 12. A copy of the International Search Report (PCT/ISA/210). Items 13 to 23 below concern document(s) or information included: 13  $\boxtimes$ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. A FIRST preliminary amendment. 16. A SECOND or SUBSEQUENT preliminary amendment. A substitute specification. 17

PCTUS1/REV05

Copy of the International Publication No. WO 2004/068909, copy of PCT Request and copies of Forms PCT/IB/301; 304; 308 & 332

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.

A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

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Express Mail Label No.

Other items or information:

A power of attorney and/or change of address letter.

## JC12 Rec'd PCT/PTC 20 JUL 2005

PTO-1390 (Rev. 12-2004)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICATION NO (if known see 37 GFR 1.5) 10/5 42830			INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER				
			PCT/JP2003/016428				92478-4900			
24. The following fees are submitted:							Applicant	use	Office use	
☐ a) Basic national fee						\$300.00	\$	\$300.00		
☑   b) Examination fee   \$200.00							\$	\$200.00		
⊠ c) Search fee							\$	\$500.00		
TOTAL OF ABOVE CALCULATIONS = \$1000.00							\$	\$1,000.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								\$1,000.00		
Total Sheets	Extra Sheets									
- 100 =	/50 =	/50 =			x	\$250.00	\$	\$0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).										
CLAIMS	NUMBER F		NUMBER EXTRA	BER EXTRA						
Total claims	13	- 20 =	0	x		\$50.00	\$	\$0.00		
Independent clair	ns 1	- 3=	0	x		\$200.00	\$	\$0.00		
MULTIPLE DEPENDENT CLAIMS (if applicable)								\$0.00		
TOTAL OF ABOVE CALCULATIONS =								\$1,000.00		
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.							\$	\$0.00		
SUBTOTAL =							\$	\$1,000.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).							\$	\$0.00		
TOTAL NATIONAL FEE =							\$	\$1,000.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +							\$	\$0.00		
TOTAL FEES ENCLOSED =								\$1,000.00		
Amount to be refunded:									\$	
Amount to be charged:									\$	
									J.	
a. A check in the amount of \$ to cover the above fees is enclosed.										
b. 🔀 Please c A duplica	p. A duplicate copy of this sheet is in the amount of \$1,000.00 to cover the above fees.									
c. The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-2814 . A duplicate copy of this sheet is enclosed.										
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.										
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to evive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.										
SEND ALL CORRESPONDENCE TO:										
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							TION NUMBER			